



**2. Beneficiary information** *(The Beneficiary is the future student.)*

Legal Name (First name) (m.i.)

Legal Name (Last name)

Social Security Number or Taxpayer Identification Number **(Required)**

Birth Date (mm/dd/yyyy)

Citizenship *(If other than U.S. citizen, please indicate country of citizenship.)*

Check if Beneficiary's address is the same as Account Owner, otherwise complete the following:

Mailing Address

City State Zip Code

**3. Successor Account Owner information** *(Optional)*

- The Successor Account Owner will take control of the Account in the event of the Account Owner's death or legal incompetence.
- You may revoke or change the Successor Account Owner at any time. See the Disclosure Statement for more information.
- The person you designate as Successor Account Owner **must be at least 18 years old.**

Legal Name (First name) (m.i.)

Legal Name (Last name)

Birth Date (mm/dd/yyyy)

**4. School information** *(Required)*

School Name *(Please do not abbreviate school name)*

School's County

CDA Assigned ID *(Testing ID)*

Current Grade *(Please use 01 for 1st Grade, for example, for Kindergarten, please write K)*

Graduation Year

## 5. Investment Option selection

- Before choosing your Investment Option(s), see the Disclosure Statement (*also available at [www.collegechoicedirect.com](http://www.collegechoicedirect.com)*) for complete information about the investments offered.
- You must allocate at least **1%** of your contributions to each Investment Option that you choose. Use whole percentages only.
- Your investment percentages must total **100%**.

### Year of Enrollment Portfolios:

The asset allocation of money invested in the Year of Enrollment Portfolios is automatically adjusted over time to become more conservative as the Beneficiary approaches enrollment.

2036 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
2033 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
2030 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
2027 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
2024 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
2021 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
College Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %

### Individual Portfolios:

The assets will remain in the portfolio you select until you exchange them into a new Investment Option.

International Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
U.S Equity Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Active Bond Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Bond Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Inflation-Protected Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Stable Value Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
Savings Portfolio (FDIC-Insured)	<input type="text"/> <input type="text"/> <input type="text"/> %
	<b>1 0 0</b> %

## 6. Initial contribution

**Champion Pledges:** You may fund your account through Ugift® with pledges received from your champions.

Additional contributions of at least \$10 can be made at any time once your account is established by going online to **[www.collegechoicedirect.com](http://www.collegechoicedirect.com)** and logging into your account. You can make additional contributions the following ways:

- Electronic funds transfer from your checking or savings account
- Automatic investment plan with scheduled contributions in set amounts from your checking or savings account
- Payroll deduction (of \$10 or more) through participating employers
- Check (made payable to CollegeChoice 529 Direct Savings Plan)

## 7. SIGNATURE—YOU MUST SIGN BELOW

By signing below, I hereby apply for an Account in CollegeChoice 529. I certify that:

- I have received, read, and understand the terms and conditions of the Disclosure Statement. I understand that by signing **The Promise Enrollment Form**, I am agreeing to be bound by the terms and conditions of the Disclosure Statement. I understand that **The Promise Enrollment Form** shall be construed, governed by, and interpreted in accordance with the laws of the State of Indiana.
  - Except as set forth below, I understand that the Disclosure Statement and **The Promise Enrollment Form** constitute the entire agreement between myself and the Trust (*as defined in the Disclosure Statement*). No person is authorized to make an oral modification to this agreement.
  - I understand that my Account in CollegeChoice 529 is not insured by the State of Indiana or any other governmental entity and neither the principal I contribute nor the investment return is guaranteed by the State of Indiana, the Authority or any other governmental entity, the Trust, the Program Manager, the Investment Managers, or any of their affiliates (*each, as defined in the Disclosure Statement*). Notwithstanding the foregoing, the Savings Portfolio is the only investment option in CollegeChoice 529 that is insured by the Federal Deposit Insurance Corporation (FDIC), up to limits set by the FDIC. I understand that there is no assurance that my Account under CollegeChoice 529 will generate any specific rate of return; in fact, there is no assurance that the Account will not decrease in value (*except for the Savings Portfolio as described in the Disclosure Statement*).
  - I understand that contributions that cause the total balance of this Account and any other Accounts established in CollegeChoice 529 and in any other Qualified Tuition Program offered by the State of Indiana on behalf of the Beneficiary designated in **Section 2** of **The Promise Enrollment Form** to exceed the Maximum Account Balance established by the Authority are not permitted. I understand that if a contribution is made to my Account that exceeds the Maximum Account Balance, all or a portion of the contribution amount will be returned to me or the contributor.
  - **I agree to the terms of the predispute arbitration clause as described under the heading “Arbitration” in the General Provisions section of the Disclosure Statement.**
  - I authorize Promise Indiana, school district, CollegeChoice 529, State of Indiana, Indiana Education Savings Authority, Ascensus Broker Dealer Services, LLC, including each of their respective affiliates, directors, officers, employees, contractors, representatives and agents (collectively, “Promise Indiana Representatives”) to take photographs, video/audio/sound recordings and interviews (collectively, “Depictions”) of me and/or the minor child listed in this Enrollment Form for each of the Promise Indiana Representatives’ lawful use in connection with the Promise Indiana Program and related research, including use in any audio or visual work, marketing materials, print campaigns, posters, commercials, social media, internet sites or any other media (whether now known or hereafter devised) (collectively, “Works”). I hereby assign fully to Promise Indiana Representatives all right, title and interest in and to all Depictions, and accordingly grant the Promise Indiana Representatives the right to reproduce, exhibit, display, broadcast and distribute the Works or Depictions, and all derivative works thereof.
- I understand that the Promise Indiana Program may collect and aggregate my child’s data, including his or her demographics, school attendance records, academic performance, youth development results, and my survey responses, if any (collectively, “Minor’s Data”).
- I consent to Minor’s Data being used by the Promise Indiana Program solely for research and evaluation purposes in connection with child development programs, provided my child is not identified by his or her name in either the Depictions, Works, or any other related project.
- I hereby release and hold harmless Promise Indiana Representatives from and against any and all losses, claims, penalties, demands, actions, causes of action, damages, complaints, or liability arising out of or related to the use of the Minor’s Data, Works, or Depictions of me and/or the minor child.
- I further waive any right to inspect or approve the use of the Depictions or rights to any royalties or other compensation arising from or related to the use of the Depictions or Works, in connection with the use of the Depictions as described above.
- I certify that I am the parent or legal guardian of the minor child listed in **The Promise Enrollment Form**. I have read the contents of this waiver and fully understand its contents, meaning and impact and sign it of my own free act and will.
- I certify that all of the information that I provided on **The Promise Enrollment Form** is accurate and complete and that I am bound by the terms, rights, and responsibilities stated in this agreement and by any and all statutory, administrative, and operating procedures that govern CollegeChoice 529.

**My signature below indicates that I have read and understand the terms above:**

SIGNATURE

Signature of Account Owner

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Date (mm/dd/yyyy)